

CONFLICT OF INTEREST:

Do you, any member of your immediate family, any foundation or entity controlled or directed by you or any member of your immediate family, or any group practice of which you are a member:

1. Receive or are entitled to consulting fees, honoraria (including honoraria from a third party, if the original source is a financially interested company) gifts or other emoluments, or "in kind" compensation from a financially interested company (or entitlement to the same), whether for consulting, lecturing, travel, service on an advisory board, or for any other purpose not directly related to the reasonable costs of conducting the research (as specified in the research agreement), that in the aggregate have in the prior calendar year exceeded the de minimis amount established in PHS regulation (at present \$10,000), or are expected to exceed that amount in the next twelve months?
 Yes No
2. Have or are entitled to equity interests, including stock options, of any amount in a non-publicly-traded financially interested company?
 Yes No
3. Receive or are entitled to equity interests in a publicly-traded financially interested company that exceed the defined de minimis amount?
 Yes No
4. Receive royalty income or have the right to receive future royalties under a patent license or copyright, where the research is directly related to the licensed technology or work?
 Yes No
5. Receive non-royalty payments or entitlements to payments in connection with the research that are not directly related to the reasonable costs of the research (as specified in the research agreement between the sponsor and the institution). This includes any bonus or milestone payments to the investigators in excess of reasonable costs incurred, whether such payments are received from a financially interested company or from the institution on milestone payments tied to the achievement of particular research results?
 Yes No
6. Serve as an officer, director, or in any other fiduciary role for a financially interested company, whether or not remuneration is received for such service?
 Yes No
7. No list of examples of conflict of interest can be complete. Do you have reason to believe that you may have a financial conflict of interest in research that is not covered by items 1-6?
 Yes No

Signature of Principal Investigator

Date